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## UTILITY PATENT APPLICATION TRANSMITTAL

Signature

Attorney Docket No. 13449US03

First Inventor Agazzi

METHOD, APPARATUS AND SYSTEM FOR HIGH-SPEED TRANSMISSION ON FIBER OPTIC CHANNEL

Express Mail Label No. EL 949070403 LIS

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EL 848970403 US **Mail Stop Patent Application** APPLICATION ELEMENTS **Commissioner for Patents** ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) 1. (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages 33] з. 🖂 a. Computer Readable Form (CRF) (preferred arrangement set forth below) -Descriptive title of the invention b. Specification Sequence Listing on: -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, or a computer i. CD-ROM or CD-R (2 copies); or program listing appendix -Background of the Invention Paper -Brief Description of the Drawings (if filed) -Detailed Description Statements verifying identity of above copies -Claim(s) **ACCOMPANYING APPLICATION PARTS** -Abstract of the Disclosure Copy of Assignment from parent 09/693,709 9. 🔀 37 CFR 3.73(b) Statement Power of 10. 4. 🖂 Drawing(s) (35 U.S.C. 113) [Total Sheets 18] (when there is an assignee) Attorney 5. Oath or Declaration [Total Sheets 2 ] English Translation Document (if applicable) 11. Information Disclosure 12. Copies of IDS a. Newly executed (original or copy) Statement (IDS)/PTO-1449 Citations 13. **Preliminary Amendment** b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Certified Copy of Priority Document(s) **DELETION OF INVENTOR(S)** (if foreign priority is claimed) Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122(b) named in the prior application, see 37 CFR (2)(B)(i). Applicant must attach form PTO/SB/35 or 16. l 1.63(d)(2) and 1.33(b). its equivalent. Other: 6П Application Data Sheet. See 37 CFR 1.76 17. 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation-in-part (CIP) ☐ Continuation □ Divisional of prior application No.: 09/693,709 Prior application information: Examiner: T. Ghebretinsae Art Unit: 2631 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box

5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 23446 Customer Number: Correspondence address below Name Address City State Zip Code Fax Country Telephone A. Wibera Registration No. (Attorney/Agent) 44,401 Name (Print/type)

Date

November 14, 2003



TO/SB/17 (11-00)

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Approved for use through 10/31/2002. OMB 0651-0032
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## **FEE TRANSMITTAL** for FY 2004

Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)770.00

C mplet if Known						
Application Number	unassigned					
Filing Date	herewith					
First Named Inventor	Agazzi					
Examiner Name	T. Ghebretinsae					
Group Art Unit	2631					
Attorney Docket No.	1344911503					

METHOD OF PAYMENT				FEE CALCULATION (continued)						
1.   The Commissioner is hereby authorized to charge indicated fees and			3. ADDITIONAL FEES							
credit any overpayments to:			Large Small							
Deposit				_	l	Entit		Entit		
Account		13-0017			Fee	Fee	Fee	Fee		Fee
Number					Code	(\$)	Code	(\$)	Fee Description	Paid
Deposit Account McAndrews Held & Malloy			1051	130	2051	65	Surcharge - late filing fee or oath	<b></b>		
Account McAndrews Held & Malloy Name			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17										
Applicant claims small entity status.			1053	130	1053	130	Non-English specification			
See 37 CFR 1.27			1812	2 520	1812	2,520	For filing a request for ay and requestion			
2. ⊠ Payme			<b>5</b> 0"		1804	_,	1804	920°	For filing a request for ex parte reexamination	$\vdash$
☑ Check ☐ Credit Card ☐ Money Order ☐ Other				] 1004	320	1004	320	Requesting publication of SIR prior to Examiner action		
	FEE	CALCULAT	ION		1805	1,840	*1805	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FII					l					
Large Entit	y Small Entity Fee Fee	Fee Description			1251	110	2251	55	Extension for reply within first month	
Code (\$)	Code (\$)	1 ce Description		Fee Paid	1252	420	2252	210	Extension for reply within second month	
1001 77	2001 385	Utility filing Fee		770.00	1253 1254	950	2253 2254	475 740	Extension for reply within third month	<b></b>
1002 34	2002 170	Design filing Fee			1254		2255	1.005	Extension for reply within fourth month  Extension for reply within fifth month	
					1401	330	2401	165	Notice of Appeal	<del></del>
1003 53		Plant filing fee			1402	330	2402	165	Filing a brief in support of an appeal	
1004 77		Reissue filing fee		$\sqsubseteq$	1403	290	2403	145	Request for oral hearing	
1005 16	2005 80	Provisional filing	fee		1451	1,510	1451	1510	Petition to institute a public use proceeding	
					1452	110	2452	55	Petition to revive - unavoidable	
			SUBTOTAL	(1) (\$)770.00	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CL	AIM FEES				1501	1,330	2501	665	Utility issue fee (or reissue)	
			Fee from		1502	480	2502	240	Design issue fee	
		Extra Claims	below	Fee Paid	1503	640	2503	320	Plant issue fee	
Total Claims	14 - 20** =	О×	18.00 =	0.00	1460	130	1460	130	Petitions to the Commissioner	
Independent	_	٦			1807 1806	50 180	1807 1806	50 180	Processing fee under 37 CFR 1.17(q) Submission of Information Disclosure Stmt	
Claims	<b>2</b> - 3** =	0 x	86.00 =	0.00	8021	40	8021	40	Recording each patent assignment per property	
Multiple Depe	ndent		=	0.00				385	(times number of properties)	
Large Entit	y Small Entity				1809	770	2809	303	Filing a submission after final rejection (37 CFR § 1.129(a))	
Fee Fee Code (\$)		Fee Description			1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1202 18		Claims in evenes	of 20		1801	770	2801	385	Request for Continued Examination (RCE)	
1201 86		Claims in excess of 20 Independent daims in excess of 3			1802	900	1802	900	Request for expedited examination of a design	
1203 29		Multiple dependent claim, if not paid			application			application		
1204 86		**Reissue independent daims		Other fee (specify)						
1205 18	2205 9	over original p	in excess of 2	0						
and over original patent										
SUBTOTAL (2) (\$)0.00				<u> </u>						
**or number previously paid, if greater; For Reissues, see above				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)0.00						

SUBMITTED BY	<u> </u>				Complete (if applicable)
Name (Print/Type)	John A. Wiberg	Registration No. (Attorney or Agent)	44,401	Telephone	312 775 8000
Signature	7lin 1	9) em	——————————————————————————————————————	Date	November 14, 2003